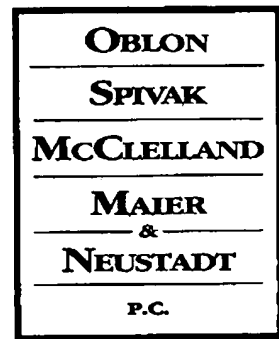


PATENT MAINTENANCE  
DIVISION

2006 MAY -3 PM 3:42

US PATENT & TRADEMARK  
OFFICE May 1, 2006



ATTORNEYS AT LAW

KATHLEEN A. MORSBERGER  
CONTROLLER  
(703) 412-6494  
KMORSBERGER@OBLON.COM

UNITED STATES PATENT AND TRADEMARK OFFICE  
2051 Jamieson Avenue  
Suite 300  
Alexandria, VA

Attn: Refund Department

Re: Deposit Account #150030

Dear Sir or Madame:

Enclosed is a copy of a portion of our deposit account statement of March, 2006. See the highlighted charge on serial number 10/825,323 in the amount of \$130.00 on fee code #1051.

When this application was filed on April 16, 2004, payment was made for the English translation surcharge via a credit card payment form for American Express in the amount of \$1,582.00. The total amount of \$1,582.00 was charged to our account (see attached). The fee for late filing of the translation in the amount of \$130.00 was part of that payment. Therefore, there should not be a charge on our deposit account for the translation surcharge.

Please review this application and kindly refund \$130.00 to deposit account #150030. Copies of the appropriate paperwork are attached. If you have any questions, please contact Debbie Noel at (703) 412-6296. Thank you for your assistance in this matter.

Sincerely,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Debra J. Noel  
Accounting Department

Enclosures



**United States  
Patent and  
Trademark Office**

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Page**Deposit Account Statement**

**Requested Statement Month:** March 2006  
**Deposit Account Number:** 150030  
**Name:** NORMAN F. OBLON  
**Attention:**  
**Address:** 1940 DUKE STREET  
**City:** ALEXANDRIA  
**State:** VA  
**Zip:** 22314  
**Country:** UNITED STATES OF AMERICA

| DATE  | SEQ | POSTING<br>REF TXT | ATTORNEY<br>DOCKET<br>NBR | FEE<br>CODE | AMT         | BAL       |
|-------|-----|--------------------|---------------------------|-------------|-------------|-----------|
| 03/01 | 1   | 10543930           | 276244US0PCT              | 1615        | \$650.00    | \$27,512. |
| 03/01 | 1   | 10200164           | 225886US2SRD              | 1806        | \$180.00    | \$27,332. |
| 03/01 | 10  | 11257107           | 280100US0X                | 1202        | \$100.00    | \$27,232. |
| 03/01 | 220 | 0171550247         | 272656AT/TEP/FF           | 8014        | \$25.00     | \$27,207. |
| 03/01 | 221 | 0171550189         | 270570AR/TEP/FF           | 8014        | \$25.00     | \$27,182. |
| 03/01 | 222 | 0171190861         | 270571AR/TEP/FF           | 8014        | \$25.00     | \$27,157. |
| 03/01 | 223 | 0171310590         | 270572AR/TEP/FF           | 8014        | \$25.00     | \$27,132. |
| 03/01 | 224 | 0171580218         | 272655AR/TEP/FF           | 8014        | \$25.00     | \$27,107. |
| 03/01 | 227 | 0171550244         | 272658AR/TEP/FF           | 8014        | \$25.00     | \$27,082. |
| 03/01 | 228 | 0171550250         | 272661AR/TEP/FF           | 8014        | \$25.00     | \$27,057. |
| 03/01 | 229 | 0171130360         | 272659AR/TEP/FF           | 8014        | \$25.00     | \$27,032. |
| 03/02 | 1   | PCT/US05/47254     | 273066WO                  | 1702        | -\$1,086.00 | \$28,118. |
| 03/02 | 2   | PCT/US05/47254     | 273066WO                  | 1702        | \$1,102.00  | \$27,016. |
| 03/02 | 2   | 10766013           | 248267US2                 | 1252        | \$330.00    | \$26,686. |
| 03/02 | 4   | PCT/US06/05206     | 272655WO                  | 1703        | \$12.00     | \$26,674. |
| 03/02 | 125 | 60665390           | 268404US/KQU              | 8007        | \$20.00     | \$26,654. |
| 03/02 | 155 | 11158080           | 274214US/KQU              | 8007        | \$20.00     | \$26,634. |
| 03/03 | 7   | 10548672           | 278095US90PCT             | 1615        | \$400.00    | \$26,234. |
| 03/03 | 11  | 10514845           | 262010US0PCT              | 1806        | -\$180.00   | \$26,414. |
| 03/03 | 172 | 11250639           | 279761US0CONT             | 1051        | \$130.00    | \$26,284. |
| 03/03 | 199 | 60698928           | 275300US/KQU              | 8007        | \$20.00     | \$26,264. |
| 03/03 | 207 | 10614832           | 240045US2S                | 1251        | \$120.00    | \$26,144. |
| 03/06 | 1   | 10656212           | 242547US3                 | 2201        | \$100.00    | \$26,044. |
| 03/06 | 1   | 11342748           | 285142US0                 | 1011        | \$299.00    | \$25,745. |
| 03/06 | 2   | 11342748           | 285142US0                 | 1201        | \$200.00    | \$25,545. |
| 03/06 | 13  | 11245362           | 279404US                  | 1202        | \$8,350.00  | \$17,195. |
| 03/06 | 14  | 11245362           | 279404US                  | 1203        | \$360.00    | \$16,835. |

|          |          |                                |      |             |           |
|----------|----------|--------------------------------|------|-------------|-----------|
| 03/27 36 | 11104565 | 246384US20                     | 1463 | -\$70.00    | \$25,250. |
| 03/27 39 | 09708256 | <del>SONY-U0404</del> 204487US | 1201 | -\$2,800.00 | \$28,050. |
| 03/27 42 | 10958331 | 260023US2SX                    | 1464 | -\$130.00   | \$28,180. |
| 03/28 27 | 11282697 | 281624US0CONT                  | 1202 | \$100.00    | \$28,080. |
| 03/28 66 | 11365656 | 287030US8 DIV                  | 1203 | \$360.00    | \$27,720. |
| 03/30 3  | 10825323 | 252064US2                      | 1051 | \$130.00    | \$27,590. |
| 03/31 3  | 10513789 | 262012US3PCT                   | 1806 | \$180.00    | \$27,410. |
| 03/31 5  | 11366469 | 286178US0                      | 1081 | \$250.00    | \$27,160. |

START  
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\$28,162.71

SUM OF  
CHARGES  
\$47,893.00

SUM OF      END  
REPLENISH BALANCE  
\$46,891.00    \$27,160.71

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*Handwritten signature*

Dept.: IP-1/JF

By: MJS/E/M/abd

OSMM&N File No. 252064US2

Serial No. New Application

In the matter of the Application of: Noriaki SHIMIZU, et al.

For: SYSTEM AND METHOD FOR PROCESSING A SUBSTRATE AND  
PROGRAM THEREFOR

Due Date: 04/16/04

The following has been received in the U.S. Patent Office on the date stamped hereon:

- 44 pp. Specification 26 Claims/Drawings 11 Sheets and  
3 Pages Application Data Sheet
- Combined Declaration, Petition & Power of Attorney 3 Pages
- Utility Patent Application Transmittal
- Credit Card Form for \$1,582.00      ■ Dep. Acct. Order Form
- Fee Transmittal Form
- Assignment/PTO 1595 Pages: 3
- White Advance Serial Number Card
- Statement Re Filing in Foreign Language



Docket No. 252064US2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

INVENTOR(S) Noriaki SHIMIZU, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: SYSTEM AND METHOD FOR PROCESSING A SUBSTRATE AND PROGRAM THEREFOR

**FEE TRANSMITTAL**

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

| FOR   | NUMBER<br>FILED | NUMBER<br>EXTRA | RATE      | CALCULATIONS |
|---|-----------------|-----------------|-----------|--------------|
| TOTAL CLAIMS  | 30 - 20 =       | 10              | x \$18 =  | \$180.00     |
| INDEPENDENT CLAIMS  | 5 - 3 =         | 2               | x \$86 =  | \$172.00     |
| <input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable) |                 |                 | + \$290 = | \$290.00     |
| <input type="checkbox"/> LATE FILING OF DECLARATION                           |                 |                 | + \$130 = | \$0.00       |
| BASIC FEE   |                 |                 |           | \$770.00     |
| TOTAL OF ABOVE CALCULATIONS   |                 |                 |           | \$1,412.00   |
| <input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY          |                 |                 |           | \$0.00       |
| <input checked="" type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE            |                 |                 | + \$130 = | \$130.00     |
| <input checked="" type="checkbox"/> RECORDATION OF ASSIGNMENT                 |                 |                 | + \$40 =  | \$40.00      |
| TOTAL   |                 |                 |           | \$1,582.00   |

☐ Please charge Deposit Account No. 15-0030 in the amount of \$0.00. A duplicate copy of this sheet is enclosed.

☐ A check in the amount of \$0.00 to cover the filing fee is enclosed.

☒ Credit card payment form is attached to cover the filing fee in the amount of \$1,582.00

☒ The Director is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Date: \_\_\_\_\_

Marvin J. Spivak  
Registration No. 24,913

Customer Number

**22850**

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 05/03)

LOAD NUMBER: [REDACTED]  
CONTROL ACCOUNT NUMBER: [REDACTED]  
CORPORATE ID: [REDACTED]  
SEQUENCE NUMBER: [REDACTED]

KATHLEEN MORSBERGER  
OBLON SPIVAK

CORPORATE PURCHASING CARD BILLING STATEMENT CC-1983

PAGE 18

|                            |  |                       |
|----------------------------|--|-----------------------|
| STATEMENT DATE<br>05/08/04 | TERMS: PAYABLE IN FULL<br>WITHIN 14 DAYS AS PER<br>YOUR CORPORATE CONTRACT | DUE DATE:<br>05/22/04 |
|----------------------------|--|-----------------------|

## TRANSACTION DETAILS

| ACCOUNT NUMBER<br>ACCOUNT NAME | SPENDING<br>LIMIT/TYPE       | EMPLOYEE ID/COST CENTER<br>UNIVERSAL # | SERVICE ESTABLISHMENT NAME/ADDRESS  | PROCESSING<br>DATE | CURRENT<br>ACTIVITY         |
|--------------------------------|------------------------------|--|---|--------------------|-----------------------------|
| CONTINUED<br>ALLISON B DAVIS   | \$10,000/TRN<br>\$98,999/MTH |  | US PATENT TRADEMARK 703-305-4631<br>10825323 GOVERNMENT SERVICES<br>SUPPLIER REF: 00010825323<br>VA<br>04/19/04 | 04/04/19           | \$1,582.00                  |
|                                |                              |  | US PATENT TRADEMARK 703-305-4631<br>10827335 GOVERNMENT SERVICES<br>SUPPLIER REF: 00010827335<br>VA<br>04/21/04 | 04/04/21           | \$856.00                    |
|                                |                              |  | US PATENT TRADEMARK 703-305-4631<br>10828954 GOVERNMENT SERVICES<br>SUPPLIER REF: 00010828954<br>VA<br>04/26/04 | 04/04/26           | \$770.00                    |
|                                |                              |  | ACCOUNT 0787-280202-21007<br>SUB-TOTAL  |                    | \$3,484.00                  |
| SANDRA C McLEAN                | \$10,000/TRN<br>\$99,999/MTH |  | PREVIOUS BALANCE<br>CORPORATE REMITTANCE RECEIVED<br>CORPORATION PROCESS DATE<br>04/16<br>04/09                 |                    | \$47,240.00<br>\$47,240.00- |



FOR ASSISTANCE CONTACT: TOLL FREE 1-800-492-4379  
CORPORATE PURCHASING CARD UNIT  
FOR REMITTANCE METHODS AND ADDRESSES  
PLEASE SEE LEGEND ON REVERSE SIDE OF CARD  
SUMMARY OF ACCOUNTS

IFW  
②

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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Alexandria, Virginia 22313-1450  
www.uspto.gov

| APPLICATION NUMBER | FILING OR 371 (c) DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|------------------------|-----------------------|------------------------|
| 10/825,323         | 04/16/2004             | Noriaki Shimizu       | 252064US2              |

22850

OBLON, SPIVAK, MCCLELLAND, MAIER & NEUSTADT, P.C.  
1940 DUKE STREET  
ALEXANDRIA, VA 22314

CONFIRMATION NO. 7886

FORMALITIES LETTER



\*0C000000013080515\*

Date Mailed: 06/28/2004

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The application was filed in a language other than English. Applicant is required to provide an English translation of the specification and a statement that the translation is accurate. (See 37 CFR 1.52(d)).
- Applicant must file an English translation of the application, the \$ 130 fee set forth in 37 CFR 1.17(i), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).
- Because your specification was filed in a language other than English, the Office was unable to determine the number of claims submitted. Additional claim fees may be due once the number of claims can be determined.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
  - The drawing figures contain text that is not in English (including, for example, a flow chart that was originally not in English that has been marked up to include the English text). (see 37 CFR 1.84(p)(2) and 37 CFR 1.52(d)(1)). See Figure(s) 1 - 11.

**SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** for English translation surcharge required.

Adjustment Date: 05/19/2006 SDIRETA1  
03/30/2006 BHABTEW -00000002 150030 10825323  
01 EC:1051 130.00 CR